

UNITED STATES DISTRICT COURT
DISTRICT OF NEVADA

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COLETTE JOHNSON,

Plaintiff,

v.

CAROLYN W. COLVIN,

Defendant.

Case No. 2:12-cv-01438-APG-PAL

REPORT OF FINDINGS AND
RECOMMENDATION

(Mtn to Reverse – Dkt. #25)
(Cross Mtn to Affirm – Dkt. #29)

This case involves judicial review of administrative action by the Commissioner of Social Security denying Plaintiff Colette Johnson's claim for disability benefits under Titles II and XVI of the Social Security Act (the "Act").

BACKGROUND

On February 23, 2010, Plaintiff filed concurrent applications for a period of disability, disability insurance benefits, and Supplemental Security Income ("SSI"), alleging she became disabled on June 1, 2009. AR¹ 136-149. The Social Security Administration ("SSA") denied Plaintiff's applications initially and on reconsideration. AR 65-78, 83-86, 91-96. A hearing before an administrative law judge ("ALJ") was held on June 21, 2011. AR 33-64. In a decision dated July 1, 2011, the ALJ found Plaintiff was not disabled. AR 19-27. Plaintiff requested review of the ALJ's decision by the Appeals Council. AR 14-15. The ALJ's decision became the Commissioner's final decision when the Appeals Counsel denied review on June 15, 2012. AR 1-5.

On August 14, 2012, Plaintiff filed an Application to Proceed In Forma Pauperis (Dkt. #1) and submitted a Complaint (Dkt. #4) in federal court, seeking judicial review of the

¹AR refers to the Administrative Record, which was delivered to the undersigned upon the Commissioner's filing of her Answer (Dkt. #21) on April 25, 2013.

Commissioner's decision pursuant to 42 U.S.C. § 405(g). The Commissioner filed her Answer (Dkt. #21) on April 25, 2013. Plaintiff filed a Motion for Remand (Dkt. #25) on May 28, 2013. The Commissioner filed a Cross-Motion for Summary Judgment and Opposition (Dkt. #29) on July 29, 2013. The court has considered the Motion to Remand and the Cross-Motion and Opposition.

DISCUSSION

I. Judicial Review of Disability Determination.

District courts review administrative decisions in social security benefits cases under 42 U.S.C. § 405(g). *See Akopyan v. Barnhart*, 296 F.3d 852, 854 (9th Cir. 2002). The statute provides that after the Commissioner of Social Security has held a hearing and rendered a final decision, a disability claimant may seek review of the Commissioner's decision by filing a civil lawsuit in federal district court in the judicial district where the disability claimant lives. *See* 42 U.S.C. § 405(g). That statute also provides that the District Court may enter, "upon the pleadings and transcripts of the record, a judgment affirming, modifying, or reversing the decision of the Commissioner of Social Security, with or without remanding the cause for a rehearing." The Ninth Circuit reviews a decision of a District Court affirming, modifying, or reversing a decision of the Commissioner de novo. *Batson v. Commissioner*, 359 F.3d 1190, 1193 (9th Cir. 2003).

The Commissioner's findings of fact are conclusive if supported by substantial evidence. 42 U.S.C. § 405(g); *see also Ukolov v. Barnhart*, 420 F.3d 1002 (9th Cir. 2005). However, the Commissioner's findings may be set aside if they are based on legal error or not supported by substantial evidence. *Stout v. Comm'r, Soc. Sec. Admin.*, 454 F.3d 1050, 1052 (9th Cir. 2006); *see also Thomas v. Barnhart*, 278 F.3d 947, 954 (9th Cir. 2002). The Ninth Circuit defines substantial evidence as "more than a mere scintilla but less than a preponderance; it is such relevant evidence as a reasonable mind might accept as adequate to support a conclusion." *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir. 1995); *see also Bayliss v. Barnhart*, 427 F.3d 1211, 1214 n. 1 (9th Cir. 2005). In determining whether the Commissioner's findings are supported by substantial evidence, the court "must review the administrative record as a whole,

weighing both the evidence that supports and the evidence that detracts from the Commissioner's conclusion." *Reddick v. Chater*, 157 F.3d 715, 720 (9th Cir. 1998); *see also Smolen v. Chater*, 80 F.3d 1273, 1279 (9th Cir. 1996).

Under the substantial evidence test, the Commissioner's findings must be upheld if supported by inferences reasonably drawn from the record. *Batson*, 359 F.3d at 1193. When the evidence will support more than one rational interpretation, the court must defer to the Commissioner's interpretation. *Burch v. Barnhart*, 400 F.3d 676, 679 (9th Cir. 2005); *see also Flaten v. Sec'y of Health and Human Serv.*, 44 F.3d 1453, 1457 (9th Cir. 1995). Consequently, the issue before the court is not whether the Commissioner could reasonably have reached a different conclusion, but whether the final decision is supported by substantial evidence.

It is incumbent on the ALJ to make specific findings so that the court does not speculate as to the basis of the findings when determining if the Commissioner's decision is supported by substantial evidence. Mere cursory findings of fact without explicit statements as to what portions of the evidence were accepted or rejected are not sufficient. *Lewin v. Schweiker*, 654 F.2d 631, 634 (9th Cir. 1981). The ALJ's findings "should be as comprehensive and analytical as feasible, and where appropriate, should include a statement of subordinate factual foundations on which the ultimate factual conclusions are based." *Id.*

II. Disability Evaluation Process

The claimant has the initial burden of proving disability. *Roberts v. Shalala*, 66 F.3d 179, 182 (9th Cir 1995), *cert. denied*, 517 U.S. 1122 (1996). To meet this burden, a claimant must demonstrate an "inability to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected . . . to last for a continuous period of not less than 12 months." 42 U.S.C. § 423(d)(1)(A). The claimant must provide "specific medical evidence" to support his or her claim of disability. If a claimant establishes an inability to perform his or her prior work, the burden shifts to the Commissioner to show that the claimant can perform other substantial gainful work that exists in the national economy. *Batson*, 157 F.3d at 721.

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1 The ALJ follows a five-step sequential evaluation process in determining whether an
2 individual is disabled. *See* 20 C.F.R. § 416.920; *see also Bowen v. Yuckert*, 482 U.S. 137, 140
3 (1987). If at any step, the ALJ makes a finding of disability or non-disability, no further
4 evaluation is required. *See* 20 C.F.R. §§ 404.1520(a)(4) and 416.920(a)(4); *see also Barnhart v.*
5 *Thomas*, 540 U.S. 20, 24 (2003). The first step requires the ALJ to determine whether the
6 individual is currently engaging in substantial gainful activity (“SGA”). *See* 20 C.F.R.
7 §§ 404.1520(b) and 416.920(b). SGA is defined as work activity that is both substantial and
8 gainful; it involves doing significant physical or mental activities, usually for pay or profit. *See*
9 20 C.F.R. §§ 404.1572(a)-(b) and 416.972(a)-(b). If the individual is currently engaging in SGA,
10 then a finding of not disabled is made. If the individual is not engaging in SGA, then the
11 analysis proceeds to the second step.

12 The second step addresses whether the individual has a medically-determinable
13 impairment that is severe or a combination of impairments that significantly limits him or her
14 from performing basic work activities. *See* 20 C.F.R. §§ 404.1520(c) and 416.920(c). An
15 impairment or combination of impairments is not severe when medical and other evidence
16 establish only a slight abnormality or a combination of slight abnormalities that would have no
17 more than a minimal effect on the individual’s ability to work. *See* 20 C.F.R. §§ 404.1521 and
18 416.921; Social Security Rulings (“SSRs”) 85-28, 96-3p, and 96-4p.¹ If the individual does not
19 have a severe medically-determinable impairment or combination of impairments, then a finding
20 of not disabled is made. If the individual has a severe medically-determinable impairment or
21 combination of impairments, then the analysis proceeds to the third step.

22 Step three requires the ALJ to determine whether the individual’s impairments or
23 combination of impairments meet or medically equal the criteria of an impairment listed in 20
24 C.F.R. Part 404, Subpart P, Appendix 1. *See* 20 C.F.R. §§ 404.1520(d), 404.1525, 404.1526,
25 416.920(d), 416.925, and 416.926. If the individual’s impairment or combination of

26 ¹ SSRs are the SSA’s official interpretations of the Act and its regulations. *See Bray v. Comm’r*
27 *of Soc. Sec. Admin.*, 554 F.3d 1219, 1224 (9th Cir. 2009); *see also* 20 C.F.R. § 402.35(b)(1).
28 They are entitled to some deference as long as they are consistent with the Act and regulations.
See Bray, 554 F.3d at 1223 (finding ALJ erred in disregarding SSR 82-41).

1 impairments meet or equal the criteria of a listing and meet the duration requirement (20 C.F.R.
2 §§ 404.1509 and 416.909), then a finding of disabled is made. *See* 20 C.F.R. §§ 404.1520(h) and
3 416.920(h). If the individual's impairment or combination of impairments does not meet or
4 equal the criteria of a listing or meet the duration requirement, then the analysis proceeds to the
5 next step.

6 Before considering step four of the sequential evaluation process, the ALJ must first
7 determine the individual's residual functional capacity ("RFC"). *See* 20 C.F.R. §§ 404.1520(e)
8 and 416.920(e). RFC is a function-by-function assessment of the individual's ability to do
9 physical and mental work-related activities on a sustained basis despite limitations from
10 impairments. *See* SSR 96-8p. In making this finding, the ALJ must consider all the relevant
11 evidence such as symptoms and the extent to which they can reasonably be accepted as
12 consistent with the objective medical evidence and other evidence. *See* 20 C.F.R. §§ 404.1529
13 and 416.929; SSRs 96-4p and 96-7p. To the extent that statements about the intensity,
14 persistence, or functionally limiting effects of pain or other symptoms are not substantiated by
15 objective medical evidence, the ALJ must make a finding on the credibility of the individual's
16 statements based on a consideration of the entire case record. The ALJ must also consider
17 opinion evidence in accordance with the requirements of 20 C.F.R. §§ 404.1527 and 416.927 and
18 SSRs 96-2p, 96-5p, 96-6p, and 06-3p.

19 The fourth step requires the ALJ to determine whether the individual has the RFC to
20 perform his past relevant work ("PRW"). *See* 20 C.F.R. §§ 404.1520(f) and 416.920(f). PRW
21 means work performed either as the individual actually performed it or as it is generally
22 performed in the national economy within the last fifteen years or fifteen years prior to the date
23 that disability must be established. In addition, the work must have lasted long enough for the
24 individual to learn the job and to perform it as SGA. *See* 20 C.F.R. §§ 404.1560(b), 404.1565,
25 416.960(b), and 416.965. If the individual has the RFC to perform his past work, then a finding
26 of not disabled is made. If the individual is unable to perform any PRW or does not have any
27 PRW, then the analysis proceeds to the fifth and final step.

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1 Step five requires the ALJ to determine whether the individual is able to do any other
2 work considering his RFC, age, education, and work experience. 20 C.F.R. §§ 404.1520(g) and
3 416.920(g). If he or she can do other work, then a finding of not disabled is made. Although the
4 individual generally continues to have the burden of proving disability at this step, a limited
5 burden of going forward with the evidence shifts to the Commissioner. The Commissioner is
6 responsible for providing evidence that demonstrates that other work exists in significant
7 numbers in the national economy that the individual can do. *Yuckert*, 482 U.S. at 141-42.

8 **II. Factual Background.**

9 **A. Testimony at Administrative Hearing.**

10 An administrative hearing was held before ALJ Norman Bennett on June 21, 2011, in Las
11 Vegas, Nevada. AR 33. Plaintiff appeared with her attorney. AR 35. Vocational expert
12 Bernard Preston also appeared. *Id.* Plaintiff was born on December 31, 1967, and at the time of
13 the administrative hearing, she was forty-three years old. AR 38. Plaintiff reported she was
14 5'3½" tall and weighed 188 pounds. *Id.* Plaintiff was not married and had no children living
15 with her. *Id.* She had a driver's license and reported being able to drive a vehicle with either an
16 automatic or standard transmission. AR 38-39. Plaintiff wore glasses. AR 39.

17 Plaintiff has a master's degree in education, and she was in Air Force between 1988 and
18 1993. *Id.* Plaintiff last worked in May or June 2009 as a part-time tutor, working about twenty
19 or twenty-five hours per week. AR 39, 42. Plaintiff told the ALJ she stopped tutoring because
20 of her exhaustion and cognitive dysfunction. AR 44. Plaintiff testified that as of the time of the
21 hearing, she could not return to that work because she could not concentrate for the full hour
22 session, and sometimes her exhaustion would prevent her from getting to appointments. AR 55.

23 Prior to tutoring, Plaintiff worked at a teacher at Horizon Academy from 2005 through
24 January 2007. AR 40. She was terminated from that position. AR 41. Prior to her work in
25 education, Plaintiff worked as a security guard at U.S. Bank, a CompUSA store, and a prison
26 construction site. AR 42. She also worked as a cashier in a casino. *Id.*

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1 Plaintiff testified that she felt fatigued “all day, every [] day” because of her mixed tissue
2 disease.¹ AR 44. She is being treated with antibiotic therapy for her mixed tissue disease at the
3 VA Rheumatology. *Id.* She follows up with her doctor every two to four months. *Id.* She
4 testified that she believes her treatment was helping. *Id.* She chose not to take the medications
5 that are typically prescribed for the connective tissue disease because the side effects scared her.
6 AR 53.

7 Plaintiff stated that she usually sleeps between twelve and sixteen hours per day, and
8 sometimes more if she has “done too much.” AR 44. She reported that a month prior to hearing,
9 she had gone on a field trip with a friend, and they were walking around Circus Circus for six
10 hours, and for three days after the trip, she “didn’t do much but sleep.” AR 45.

11 Plaintiff also testified that she has trouble concentrating, depending on the task. AR 45.
12 She reported being able to read a book in a darkened room for a couple of hours, but in a more
13 active situation, she could focus for no more than thirty minutes because she is easily distracted.
14 *Id.* At a maximum, she can do two hours of work around her home before she has to take a nap
15 for about two and a half hours on average. Plaintiff testified that she suffered from a “brain fog”
16 where she was unable to concentrate, stared off into space, could not find words to express
17 herself, did not remember things, and could not multitask. AR 47.

18 Plaintiff’s mixed connective tissue disease caused her joint pain in the ankles, elbows,
19 low back, neck, hands, wrists, and hips. *Id.* The pain in Plaintiff’s elbows, low back, and right
20 hand was constant. *Id.* Plaintiff also had muscle spasms in her low back, her legs, and her
21 trachea/esophagus. AR 47-48. The muscle spasms in her throat caused trouble breathing, and
22 Plaintiff took albuterol for that, which she testified helped control the asthma attacks. AR 56.
23 Plaintiff testified that she did not have side effects from her medications. AR 48. Plaintiff took
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25 ¹ Mixed connective tissue disease is a rare autoimmune disease and features signs and symptoms
26 of a combination of disorders, primarily lupus (an inflammatory disease that can affect many
27 different organs), scleroderma (abnormal thickening and hardening of the skin, tissue, and
28 organs), and polymyositis (muscle swelling). See <http://www.mayoclinic.org/diseases-conditions/mixed-connective-tissue-disease/basics/definition/con-20026515> (last visited May 6, 2014); http://my.clevelandclinic.org/disorders/connective-tissue-diseases/hic_mixed_connective_tissue_disease.aspx (last visited May 6, 2014).

1 cyclobenzaprine for muscle spasms. *Id.* There were days when her pain was so bad that she
2 could not leave her bed. *Id.* She took Lortab for pain. *Id.*

3 Plaintiff testified that her disease also caused anxiety. AR 49. With medication, she had
4 anxiety attacks every two to three months. *Id.* Plaintiff testified she was photosensitive, and
5 light gave her headaches and increased the effect of her cognitive dysfunction. AR 50. Plaintiff
6 testified she could sit no longer than thirty minutes at a time, and she could stand no more than
7 about thirty minutes as well. *Id.* Plaintiff could walk for about a half hour, and she walked about
8 a half mile to her mailbox every day. *Id.*

9 Plaintiff testified that she wished she had more to do. AR 51. Plaintiff testified that she
10 would like to return to tutoring and that she could stand up and sit down at will doing that job.
11 AR 52.

12 Mr. Preston, the vocational expert (“VE”) testified that a person who could lift ten
13 pounds occasionally and five pounds frequently; with occasional postural limitations; who would
14 have to alternate sitting and standing for thirty minute increments, sitting for up to six hours per
15 day and standing for up to two could not perform any of Plaintiff’s PRW as either a cashier,
16 tutor, or teacher. AR 61-62. However, the VE testified that there are other jobs that exist in the
17 national economy in substantial numbers that person could perform, including information clerk,
18 credit card interviewer/phone operator, or election clerk, all of which could be performed with
19 the sit/stand option. AR 60-61.

20 The VE testified that with the added limitation that the person could only sit for a
21 maximum of two hours total and could stand and walk for less than two hours total, there would
22 be no jobs in the national economy that person could perform. AR 61-62.

23 **B. Plaintiff’s Medical Records.**

24 **1. Adult Function Report – March 16, 2010.**

25 Plaintiff completed an Adult Function Report on March 16, 2010. AR 181-188. She
26 reported that on an average day, she worked for five hours, took one two-hour nap, and slept for
27 about eleven hours at night. AR 181. She was able to care for her dogs and cats and her own
28 personal hygiene. AR 181-82. Although she reported working for five hours, she also reported

1 that she could not do concentrated thinking for longer than three hours at a time. AR 182. She
2 could prepare simple meals. AR 183. She took care of household tasks, but had to do them
3 incrementally in short time periods, no more than one hour a day. *Id.*

4 She left the house two or three times per day and was able to walk or drive a car. AR
5 184. She reported doing her own shopping two times per month, but she indicated her “brain
6 fog” makes shopping difficult and overly time-consuming because of an inability to concentrate.
7 *Id.* She required someone to take her to the store because it is a long trip. AR 185. She could
8 take care of her finances. AR 184.

9 She reported reading on an almost-daily basis with no problems, and she is able to watch
10 a movie per week with no problems, but she can play games for no more than two hours without
11 losing concentration. AR 185. She could pay attention for two or three hours at a time, and she
12 finished what she starts. AR 186. She attended church on a weekly basis, but needed to nap
13 afterward. AR 185. She could walk a mile, but afterward, she could not do any activity for the
14 rest of the day or the next day. AR 186. She reported handling stress poorly, but she was “fine”
15 with changes in routine. AR 187.

16 **2. Adult Function Report—July 17, 2010.**

17 Plaintiff completed another Adult Function Report on July 17, 2010. AR 205-212.
18 Although she wrote she needed twelve to sixteen hours of sleep per night to “function at all,” she
19 reported sleeping seven hours at night and taking one three-hour nap during the day. AR 205,
20 206. She was able to take care of her pets and take them on occasional walks. AR 206. She
21 could still prepare simple meals on a daily basis. AR 207. She could do most chores that did not
22 involve heavy lifting in short spurts of up to thirty minutes, and then she would need to rest. *Id.*
23 She did one chore per day. *Id.*

24 Plaintiff left the house between one and three times daily. AR 208. She could still walk
25 and drive a car. *Id.* Plaintiff reported attending church and bible study weekly. AR 209. She
26 also reported attending pool tournaments less frequently because of low energy. *Id.*

27 Plaintiff reported she could not lift more than twenty pounds, and her low back does not
28 allow her to “bend straight.” AR 210. She could not stand in one place for a long time because

1 it hurt her low back. She could walk a half mile, but the next day, she would be very tired and
2 unable to concentrate. *Id.* She could focus for one hour at a time. *Id.* She reported her anxiety
3 had increased, and she often forgot things. AR 211.

4 **3. Radiologic Reports/Tests & Lab Work.**

5 In August 2001, x-rays of Plaintiff's cervical spine revealed mild mid-cervical
6 compression fractures which were "most likely old" and mild degenerative disc disease and
7 osteoarthritis. AR 239-240.

8 On August 14, 2007, Plaintiff had an x-ray of both hips that revealed mild degenerative
9 changes. AR 269.

10 On February 24, 2009, Plaintiff had an x-ray of her right wrist that was normal. AR 238.
11 On April 2, 2009, Plaintiff had an x-ray of her right and left wrists, both of which were normal.
12 AR 237. On May 29, 2009, Plaintiff had an x-ray of her right wrist taken which was normal.
13 AR 235. An August 31, 2009, x-ray of Plaintiff's chest showed no evidence of acute
14 cardiopulmonary disease. AR 283. An x-ray of Plaintiff's left foot taken October 1, 2009,
15 showed a small left dorsal calcaneal spur² but no signs of acute fractures. AR 282. One of
16 Plaintiff's treating physicians, Kathryn Crooks, M.D., informed her by letter that she would need
17 no intervention for her foot except to have padding in her shoes. AR 320.

18 On January 5, 2010, Plaintiff underwent a modified barium swallow after reporting
19 difficulty swallowing. AR 278. The test showed no evidence of esophageal mucosal masses,
20 stricture, obstruction, or peptic ulcer disease, but it showed she did have mild gastroesophageal
21 reflux and small Schatzki ring.³ AR 281.

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25 ² A bony spur, also known as a heel spur, that projects from the underside of the heel bones and
26 that may make walking painful. <http://www.medterms.com/script/main/art.asp?articlekey=7095>
(last visited Apr. 28, 2014).

27 ³ A birth defect of the esophagus that causes narrowing of the lower esophagus and can cause
28 problems swallowing. See www.nlm.nih.gov/medlineplus/ency/article/00208.htm (last visited
Apr. 24, 2014).

1 An x-ray of Plaintiff's lumbosacral spine on July 28, 2010, showed generalized lumbar
 2 spondylosis⁴ and disc narrowing at all levels, but most prominently at L4 and L5. AR 395, 420.
 3 Plaintiff had an x-ray of her thoracic spine the same day that showed a stable an unremarkable
 4 thoracic spine. AR 396, 421. X-rays of Plaintiff's cervical spine from the same date showed
 5 development of cervical spondylosis and disc degenerative changes at C3, C4, C5, and C6 and
 6 coronal stenosis⁵ on the right at C3-C4. AR 397, 417.

7 Plaintiff's ankle was also x-rayed on July 28, 2010. AR 418. The bilateral image and the
 8 image of her left ankle were unremarkable and showed no acute regional bony trauma and small
 9 bilateral dorsal calcaneal spurs. AR 418-19.

10 **4. VA Primary Care Records.**

11 On August 23, 2006, Plaintiff reported having daytime fatigue after only being awake for
 12 a couple of hours and after eight hours of sleep. AR 241. She was referred to the pulmonary
 13 clinic by the ENT Department for evaluation for sleep apnea. *Id.* That test was normal. AR
 14 309.

15 On July 10, 2009, Plaintiff requested and was prescribed Flexeril for muscle spasms. AR
 16 337.

17 Plaintiff saw Dr. Crooks on August 31, 2009, for fatigue and exhaustion despite adequate
 18 sleep. AR 327. She reported having a systemic Candida infection but denied any pain, except
 19 that her joints, hands, and feet were stiff. *Id.* Dr. Crooks' notes indicate Plaintiff's complete
 20 blood counts, chemical panels, and thyroid labs were all normal. AR 328. On September 11,
 21 2009, Dr. Crooks sent Plaintiff a letter advising her that most of the "obscure" lab tests they ran

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25 ⁴ A general term for age-related wear and tear affecting the spinal disks. *See, e.g.,*
 26 <http://www.mayoclinic.org/diseases-conditions/cervical-spondylosis/basics/definition/con-20027408> (last visited Apr. 25, 2014).

27 ⁵ A narrowing of the open spaces within the spine, which can put pressure on the spinal cords and
 28 nerves that travel through the spine. <http://www.mayoclinic.org/diseases-conditions/spinal-stenosis/basics/definition/con-20036105> (last visited Apr. 25, 2014).

1 were normal, but one of the tests for autoimmune illness was “somewhat positive,”⁶ and Plaintiff
2 needed to have an additional test done. AR 324.

3 Plaintiff saw Dr. Crooks September 30, 2009, complaining of fatigue and an inability to
4 do her work. AR 322. She also reported joint stiffness and tenderness. *Id.* Dr. Crooks referred
5 Plaintiff for a rheumatology consultation. AR 323.

6 On December 31, 2009, Dr. Kathryn Crooks sent Plaintiff a note informing Plaintiff that
7 Plaintiff had been infected with the Epstein-Barr virus in the past and was now fully immune to
8 it. AR 307.

9 Plaintiff saw Dr. Crooks on July 19, 2010, for pains in her neck, low back, ankles, wrists
10 and feet as well as recurrent sprains and twists in her ankles. AR 445. On July 29, 2010, a nurse
11 called Plaintiff to review her x-ray results with her. AR 443. The nurse informed her that Dr.
12 Crooks had referred Plaintiff for a podiatry consultation. *Id.*

13 On August 17, 2010, Plaintiff saw Dr. Crooks for joint pain and pain in her neck and low
14 back. AR 441. Plaintiff was referred for physical therapy. AR 442.

15 Plaintiff had an eye exam on August 23, 2010, where she reported photosensitivity and
16 dry eyes. AR 460.

17 On August 24, 2010, Plaintiff had a consultation for a physical therapy treatment plan to
18 address her pain in the lower back and neck. AR 436. The physical therapist noted that her
19 range of motion in both areas was good. *Id.* He observed “slight abdominal and upper trunk
20 deconditioning” and instructed her to perform an in-home exercise program to address these
21 issues as tolerated. *Id.*

22 On August 30, 2010, Plaintiff had a podiatry consultation because of recurrent ankle
23 sprains and instability. AR 434. She was fitted for orthotics on December 14, 2010. AR 558. A

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25 ⁶ This is the ANA test, and it detects antinuclear antibodies in a person’s blood. A normal,
26 healthy immune system makes antibodies to fight infection; antinuclear antibodies, however,
27 attack the body’s own tissues, specifically targeting each cell’s nucleus. In most a positive ANA
28 test indicates that your immune system has launched a misdirected attack on your own tissue —
in other words, an autoimmune reaction. But some people have positive ANA tests even when
they’re healthy. See <http://www.mayoclinic.org/tests-procedures/ana-test/basics/definition/prc-20014566> (last visited May 6, 2014).

1 progress note from Plaintiff's May 12, 2011, appointment with the podiatrist indicated that
2 Plaintiff was doing well with foot orthotics and previously bracing. AR 647.

3 On October 26, 2010, a Telos ankle stress study was performed bilaterally, and the results
4 were negative/unremarkable. AR 586.

5 At Plaintiff's October 27, 2010, appointment with Dr. Crooks, Plaintiff reported that she
6 had stopped taking her antidepressant, bupropion. AR 584.

7 Plaintiff was seen by neurologist Dr. John M. Kirk on November 12, 2010. AR 509. Dr.
8 Kirk conducted an electromyogram on Plaintiff's lower extremities. AR 511. He did not
9 detect any electrodiagnostic abnormality or any definite weakness. AR 509.

10 Plaintiff was fitted for and provided with a single point cane on November 16, 2010. AR
11 572. The note indicates Plaintiff was "safe and steady ambulating" with the cane. *Id.*

12 Plaintiff saw Dr. Crooks on January 11, 2011, and reported that her blood pressure had
13 been dropping low. AR 547-48. Dr. Crooks' notes indicate this is likely from the medication
14 Plaintiff was taking as part of the Marshall Protocol.⁷ AR 548. She requested a blood pressure
15 cuff. AR 552.

16 Plaintiff called into the VA's nurse line on August 2, 2011, with complaints of shooting
17 pain in her mid-back. AR 642. Plaintiff said she was lightheaded, but thought it was the
18 combination of Lortab and cyclobenzaprine (a muscle relaxer) she took that may have caused the
19 lightheadedness. *Id.* The nurse recommended Plaintiff go to the emergency room. *Id.* Plaintiff
20 was taken by ambulance to Desert Valley Hospital. AR 669, 670. After a normal CT scan and

21 ⁷ The court's research could find only one scholarly article in the English language from BMJ
22 referring to the Marshall Protocol. *See* Lassen, K., "Patient organizations in ME and CFS seek
23 only understanding," (BMJ 22 June 2005), available at [http://www.bmj.com/rapid-](http://www.bmj.com/rapid-response/2011/10/31/patient-experiences-marshall-protocol-dangerous)
24 [response/2011/10/31/patient-experiences-marshall-protocol-dangerous](http://www.bmj.com/rapid-response/2011/10/31/patient-experiences-marshall-protocol-dangerous) (last visited Apr. 28,
25 2014). That article did not define Marshall Protocol. It only reported the results of an internet
26 survey, finding it was dangerous. *Id.* According to the "Marshall Protocol Knowledge Base," it
27 appears this therapy was created by an electrical engineer, Trevor Marshall, and it claims to treat
28 chronic inflammation by taking long-term doses of antibiotics (minocycline) and/or blood
pressure medication (olmesartan) and reducing the body's vitamin D. *See generally* The
Marshall Protocol Knowledge Base, available at
http://mpkb.org/home/patients/protocol_overview (last visited Apr. 28, 2014). Dr. Crooks'
progress notes refer to a similar synopsis taken from Wikipedia. AR 465.

1 normal lab work, Plaintiff was discharged the same day with a prescription for Percoset. AR
2 667.

3 **5. VA Rheumatology Records.**

4 Plaintiff was seen on October 16, 2009, by Dr. Jaya Prasad, M.D. AR 315. She reported
5 unexplained fatigue, even after sleeping fifteen hours per day. AR 315. She reported no joint
6 pain but said she had constant pain in the neck muscles and low back and all over the body. AR
7 316. Her joint exam was normal. *Id.* Dr. Prasad's assessment was that she had possible mixed
8 connective tissue disease, and he considered referring her to a neurologist for her confusion and
9 sleep problems. *Id.*

10 Dr. Prasad's January 19, 2010, progress notes for Plaintiff state that most of her
11 symptoms were related to mixed connective tissue disease. AR 301. Dr. Prasad noted Plaintiff
12 had no major joint pain except fatigue, tiredness, and excessive sleepiness and that she was
13 unable to function and had "fogginess in the brain." *Id.* Plaintiff was on Plaquenil for her mixed
14 connective tissue disease but was "very hesitant to take the medication, since she had some
15 simple side effects." *Id.*

16 Plaintiff saw Dr. Prasad on April 19, 2010. AR 468. The progress notes indicate that
17 Plaintiff was still suffering from fatigue and tiredness that rendered her disabled and unable to
18 function. *Id.* Plaintiff reported the foggy in her brain improved when she wore glasses. *Id.*
19 Dr. Prasad assessed Plaintiff with chronic fatigue, recognizing her positive ANA status "with
20 slight suggestion of mixed connective tissue disease" without the involvement of any organs.
21 AR 469.

22 Dr. Prasad's June 10, 2010, progress notes reflect that Plaintiff requested to follow the
23 Marshall Protocol for fibromyalgia and mixed connective tissue disease. AR 452. Dr. Prasad
24 directed Plaintiff to continue her usual medications. AR 453. Plaintiff also requested drugs to
25 follow the Marshall Protocol from Dr. Crooks on April 23, 2010, but those drugs were only
26 available for primary indications and FDA-approved indications. AR 464. At that same
27 appointment, Plaintiff refused Dr. Crooks' offer for a referral to any weight management
28 program despite Dr. Crooks' discussion with Plaintiff on the health risks of obesity. AR 466.

1 Plaintiff saw Dr. Prasad on August 20, 2010. AR 436-439. The progress notes provide,
2 “The patient in general is doing well but continues to have generalized aches and pains over the
3 nape of the neck, over the back, as well as extreme fatigue and extreme sleepiness, for which she
4 has been on treatment.” AR 437. Dr. Prasad also observed that Plaintiff’s fatigue symptoms
5 continued despite being on her present medication. *Id.*

6 Plaintiff saw Dr. Prasad on October 15, 2010, and she reported that she had been trying
7 Marshall Protocol and claimed she was “feeling much better than before.” AR 589. She
8 reported her fatigue had improved in general, and she had decided to find some employment “to
9 keep [] busy.” *Id.* The lab work she had completed on October 6, 2010, was all normal. *Id.* She
10 reported no side effects to her medications. *Id.* She reported her thinking and sleeping were
11 “much better,” and she was not sleeping all day long. *Id.* Her joint pains, malaise, and fatigue
12 were intermittent in nature. *Id.* On examination, there was no evidence of active inflammation
13 over the joints. *Id.*

14 On December 4, 2010, Plaintiff saw Dr. Prasad, and the progress notes reflect that
15 Plaintiff “decided to follow Marshall Protocol,” but she continued to have similar symptoms, no
16 major improvement, and persistent generalized achiness, fatigue, and “brain fog.” AR 502. On
17 examination, she had significant trigger point tenderness all over her body. *Id.* Dr. Prasad’s
18 final diagnosis was chronic fatigue, malaise with fibromyalgia, increased sleepiness along with
19 positive ANA status, and mixed connective tissue disease. *Id.*

20 Plaintiff saw Dr. Prasad on March 1, 2011. AR 493. As a result of following the
21 Marshall Protocol, “which she started on her own,” Plaintiff was hypotensive. AR 497. Plaintiff
22 continued to struggle with fatigue, but “in general feels slightly better.” *Id.* Dr. Prasad observed
23 that Plaintiff’s chronic generalized aches were suggestive of fibromyalgia, and she had a positive
24 ANA and chronic fatigue. *Id.*

25 On September 24, 2011, Plaintiff saw Dr. Prasad, and Plaintiff’s symptoms were
26 unchanged. AR 635. Plaintiff’s examination was normal, and Dr. Prasad did not observe
27 evidence of abnormalities. AR 636.

28 ///

1 Plaintiff saw Dr. Prasad on December 2, 2011. AR 626. She had no major joint swelling
 2 or joint pains at that time, and her examination was normal and revealed no major abnormalities.
 3 AR 626-27.

4 **6. Psychiatric Records.**

5 Plaintiff was seen by Dr. David Orea, M.D. on February 26, 2009 and April 23, 2009, for
 6 a medication review and supportive therapy. AR 349, 361. She reported her energy was low,
 7 but she was able to socialize with friends. AR 349, 361. She indicated she experienced panic
 8 attacks two to three times per month, but she told Dr. Orea she was satisfied with her current
 9 medications. AR 349, 361. Plaintiff was advised, and expressed understanding, that
 10 benzodiazepines, such as the lorazepam she was prescribed, affected cognitive function. AR
 11 349, 361.

12 On November 18, 2009, Plaintiff had no complaints concerning her psychiatric condition.
 13 AR 313. However, given her complaints of fatigue, Dr. Orea, advised her to taper off the
 14 lorazepam because benzodiazepines affect cognitive functions and alertness. AR 313-14.

15 On January 9, 2010, Plaintiff saw Dr. Monjtaba Motlagh, M.D. for a mental health
 16 diagnostic assessment. AR 299. Dr. Motlagh noted Plaintiff looked older than her stated age.
 17 *Id.* He found her to be cooperative, reasonable, alert, and oriented to time, place, person, place,
 18 and situation. AR 300. He observed that her mood and affect were anxious. *Id.* ,

19 On March 5, 2010, and June 10, 2010, Plaintiff saw Dr. Motlagh for psychotherapy and
 20 medication management for her anxiety. AR 298, 454-57, 471.

21 On July 12, 2010, Plaintiff saw a social worker for treatment of dysthymia.⁸ AR 446.

22 September 13, 2010, Plaintiff wanted increase of benzodiazepine; Dr. Motlagh said no,
 23 and increased Plaintiff's dose of Celexa (an antidepressant medication) instead. AR 432.
 24 Plaintiff asked to switch therapists. *Id.*

25 On September 17, 2010, Plaintiff was seen by a licensed clinical social worker, for
 26 anxiety. AR 428-29. She reported long-term use of Xanax⁹ to manage her anxiety. AR 428.

27 _____
 28 ⁸ A mild but chronic form of depression. <http://www.mayoclinic.org/diseases-conditions/dysthymia/basics/definition/con-20033879> (last visited Apr. 28, 2014).

1 Plaintiff saw the social worker for psychotherapy on October 6, 2010. AR 594. Plaintiff
2 was more relaxed during the psychotherapy session, and her affect was more congruent with the
3 content of her dialogue. AR 595.

4 Plaintiff saw Ms. Sakal for supportive psychotherapy on November 10, 2010. AR 512.
5 She reported sleeping excessively. AR 578. She also reported being fired from her last two
6 teaching jobs due to “boundary violations.” *Id.*

7 On November 18, 2010, Plaintiff reported anxiety symptoms and difficulty concentrating
8 to the social worker. AR 312. She also reported she had been enjoying her activities and
9 meeting with friends regularly to play cards and pool. *Id.*

10 Plaintiff saw the social worker for psychotherapy on November 24, 2010. AR 566.

11 Plaintiff saw Dr. Motlagh on December 20, 2010 for therapy and medication
12 management. AR 500.

13 Plaintiff had a psychotherapy session with the social worker on February 23, 2011. AR
14 545. The social worker observed Plaintiff seemed to have difficulty focusing and prioritizing.
15 AR 567. Plaintiff continued to meet with the social worker monthly for psychotherapy. AR 653,
16 655.

17 Plaintiff saw Dr. Motlagh on April 29, 2011, for psychotherapy and medication
18 management. AR 648.

19 Plaintiff saw the clinical social worker for supportive psychotherapy on March 18, 2011.
20 AR 492.¹⁰ The notes indicate Plaintiff continued to struggle with fatigue, but her anxiety was “in
21 check” with medication. *Id.*

22 On October 18, 2011, Plaintiff met with a social worker and reported a change in her
23 sleep pattern in that she overslept. AR 633. She also indicated she was no longer able to clean
24 her home. *Id.* The social worker observed that Plaintiff’s affect was incongruent with the
25 content of her speech, and her thought process was tangential at times. AR 634.

26 ⁹ This is likely a typographical error, as Plaintiff took Ativan (lorazepam), not Xanax
27 (alprazolam).

28 ¹⁰ The AR is out of order. The page that follows is a progress note from Dr. Prasad. Dr. Sakal’s
March 18, 2011, note continues at AR 495.

1 Plaintiff saw the social worker for treatment of mood disorder on June 15, 2011. AR
2 644. She reported that over the preceding two weeks, she had been unable to fall asleep before
3 5:00 am, and then she wakes up at 9:00 am. *Id.* Plaintiff reported taking two lorazepam daily
4 and rated her anxiety a level three on a scale of one through ten (ten being the worst) because
5 “the lorazepam is working.” *Id.* The social worker assessed a mood disorder caused by
6 Plaintiff’s general medical condition.

7 **7. Dr. Motlagh’s Mental RFC Questionnaire.**

8 Dr. Motlagh completed a Mental RFC Questionnaire on Plaintiff’s behalf on June 24,
9 2010. He reported having seen Plaintiff on four occasions during 2010. AR 384. He diagnosed
10 her with generalized anxiety disorder. *Id.* Plaintiff claimed to be “susceptible for other people’s
11 anxiety,” but she was doing “ok” on medication. *Id.* He wrote that Plaintiff’s prognosis was
12 “guarded to good.” *Id.* He also completed a check-the-box form regarding Plaintiff’s symptoms,
13 ability to do work activities on a daily basis, mental abilities and aptitudes. AR 385-87. He
14 concluded Plaintiff’s impairments would cause her to miss four days per month of work. AR
15 388. He was not sure whether Plaintiff’s impairment would last at least twelve months or
16 whether Plaintiff was a malingerer. *Id.*

17 **8. Dr. Crooks’ Physical RFC Questionnaire.**

18 Dr. Crooks completed a Physical RFC Questionnaire on Plaintiff’s behalf on July 19,
19 2010. Dr. Crooks was Plaintiff’s treating physician since August 31, 2009. AR 390. She
20 diagnosed Plaintiff with mixed connective tissue disorder, myalgias, fatigue, anxiety, and panic,
21 and she opined these were lifelong conditions. *Id.* She observed Plaintiff suffered from chronic
22 pain in her neck, low back, ankles, feet, hands, and elbows, she had muscle aches, skin irritation,
23 and headaches. *Id.* Medications were not effective enough in controlling Plaintiff’s pain, and
24 higher dosages increased drowsiness. *Id.* She found Plaintiff’s impairments had lasted or would
25 last twelve months or longer, and that Plaintiff was not a malingerer. *Id.*

26 She opined Plaintiff’s impairments would frequently interfere with her attention and
27 concentration at work, and that she was incapable of even “low stress” jobs. AR 391. She
28 observed Plaintiff would walk no more than two city blocks without severe pain or rest. *Id.* She

1 found Plaintiff could sit for twenty minutes at a time and stand for fifteen minutes at a time, and
2 she could sit, stand, or walk for no more than two hours total per day. *Id.* Plaintiff would need
3 to include ten-minute periods of walking in an eight-hour workday every twenty minutes, and
4 she would need a job that would permit shifting at will from sitting to standing or walking. AR
5 392. Plaintiff could occasionally lift ten pounds or less, should rarely lift twenty pounds, and
6 should never lift fifty pounds. *Id.*

7 Dr. Crooks also believed Plaintiff should only: occasionally move her head and neck,
8 never twist, rarely stoop, occasionally crouch or squat, never climb ladders, and occasionally
9 climb stairs. AR 393. Plaintiff had significant limitations with reaching handling and fingering
10 such that she should only use her hands to grasp, turn, and twist objects ten percent of an eight-
11 hour day; use her fingers for fine manipulations twenty five percent of an eight hour day; and use
12 her arms to reach one percent of an eight-hour day. *Id.* Dr. Crooks opined that Plaintiff's
13 impairments would likely cause her to miss more than four days of work per month. *Id.* She
14 also noted that Plaintiff has light sensitivity that requires her to wear dark glasses indoors. *Id.*
15 Dr. Crooks wrote that all of these symptoms and limitations had been present since Plaintiff's
16 first appointment with her on August 31, 2009. AR 394.

17 **9. Dr. Prasad's Physical RFC Questionnaire.**

18 Dr. Jaya Prasad completed a Physical RFC Questionnaire about Plaintiff on August 18,
19 2010. Dr. Prasad was Plaintiff's treating rheumatologist and had seen Plaintiff every four weeks
20 since October 2009. AR 398. Dr. Prasad diagnosed Plaintiff with mixed connective tissue
21 disease and fatigue and found her prognosis was poor. *Id.* Plaintiff suffered from fatigue,
22 headaches, musculoskeletal pains, trigger point tenderness, and joint pain. AR 398. Plaintiff
23 took antidepressants, anti-inflammatories, and muscle relaxers with no improvement. *Id.*
24 Plaintiff's condition had lasted or would last twelve months, and she was not a malingerer. *Id.*
25 She was under the care of a psychiatrist for depression, anxiety, and psychological factors
26 affecting her physical condition. *Id.*

27 Dr. Prasad opined Plaintiff's impairments would constantly interfere with her attention
28 and concentration during an eight-hour workday. AR 399. Plaintiff would be incapable of even

1 low stress jobs because she “stays in bed because of fatigue.” *Id.* Plaintiff could not walk any
2 city blocks without rest or severe pain, she could sit fifteen minutes at one time before needing to
3 stand, and could stand twenty minutes before needing to sit or walk. *Id.* Plaintiff could only sit,
4 stand, or walk for less than two hours total during an eight-hour workday. *Id.*

5 Plaintiff would need to include ten minute long periods of walking during an eight-hour
6 workday every thirty minutes. AR 400. She would need a job that permitted shifting positions
7 at will from sitting to standing or walking, and she would need unscheduled fifteen to thirty
8 minute breaks four to five times per shift. *Id.* Plaintiff should rarely lift less than ten pounds and
9 should never lift anything heavier than ten pounds. *Id.* She should only rarely move her head
10 and neck and rarely twist, stoop/bend, crouch/squat, climb ladders, or climb stairs. AR 401. She
11 was significantly limited with reaching, handling, and fingering such that she could only use her
12 hands to grasp, turn, or twist objects for ten percent of an eight-hour workday; use her fingers for
13 fine manipulations for ten percent of an eight hour workday; and never use her arms for
14 reaching. *Id.* She would miss more than four days of work per month because of her
15 impairments. *Id.* Plaintiff slept most of the day because of her fatigue and depression. *Id.* She
16 has experienced these symptoms and limitations longer than one year. AR 402.

17 Dr. Prasad also completed a second physical RFC questionnaire on December 1, 2011,
18 well after the ALJ’s July 1, 2011, decision in this case. AR 605-609. However, the court is
19 required to consider evidence submitted to the Appeals Council, so long as it relates to the period
20 on or before the ALJ’s decision. *See Brewes v. Commissioner of Soc. Sec. Admin.*, 682 F.3d
21 1157, 1162 (9th Cir. 2012). Dr. Prasad’s RFC assessment relates back to 2008. To the extent
22 the responses are different from the first assessment, the court will discuss them. Dr. Prasad
23 upgraded Plaintiff’s prognosis from poor to stable. AR 605. Her symptoms and clinical findings
24 remained essentially the same, but Plaintiff had the added symptom of being unable to focus or
25 concentrate. AR 605. Plaintiff was not a malingerer. AR 606.

26 Plaintiff could not walk even one city block. AR 607. Plaintiff could stand fifteen
27 minutes at a time. *Id.* Plaintiff would need three or four unscheduled breaks that are thirty or
28 forty minutes in duration. AR 608. Plaintiff could frequently lift ten pounds or less and never

1 left twenty or fifty pounds. *Id.* She was significantly limited with reaching, handling, and
2 fingering such that she could only use her hands to grasp, turn, or twist objects for twenty
3 percent of an eight-hour workday; use her fingers for fine manipulations for twenty percent of an
4 eight hour workday; and use her arms for reaching twenty percent of an eight hour workday. *Id.*
5 Plaintiff could bend or twist at the waist twenty percent of an eight hour workday. *Id.* He
6 observed Plaintiff was very depressed and moody. AR 609.

7 **10. Psychiatric Review Technique.**

8 Dr. Pastora Roldan, Ph.D., completed a Psychiatric Review Technique of Plaintiff on
9 September 14, 2010, and found that Plaintiff suffered from generalized anxiety disorder and
10 chronic post-traumatic stress disorder, but these conditions were non-severe. AR 403, 408. She
11 specifically considered Listing 12.06. AR 413. With respect to the Paragraph B criteria, Dr.
12 Roldan found Plaintiff was mildly restricted in her activities of daily living; had mild difficulties
13 maintaining social functioning; had mild difficulties maintaining concentration, persistence, and
14 pace; and had no episodes of decompensation of extended duration. *Id.* Dr. Roldan found no
15 evidence to establish the presence of Paragraph C criteria. AR 414.

16 **11. Medical Source Statement.**

17 Dr. Vincent Scoccia examined Plaintiff on October 20, 2010, for the Bureau of Disability
18 Adjudication. AR 473. The only abnormality observed in his examination was that Plaintiff had
19 a “somewhat flat affect.” AT 475. He diagnosed her with connective tissue disorder and
20 anxiety. *Id.* Dr. Scoccia also completed a Consultative Examination Medical Source Statement.
21 AR 477-479. In a check-the-box form, Dr. Scoccia found Plaintiff could occasionally lift and/or
22 carry ten pounds. AR 477. She could stand and/or walk less than two hours cumulatively in an
23 eight-hour day and needed an assistive device to ambulate and get up and down. *Id.* Plaintiff
24 could sit for two hours cumulatively in an eight hour day, and she would need to alternate
25 standing and sitting such that standard breaks and lunch periods would not provide sufficient
26 relief. *Id.* He found Plaintiff could occasionally climb ramps or stairs but could never climb
27 ladders or scaffolds or balance, stoop/bend, kneel, crouch/squat, or crawl. *Id.* He found Plaintiff
28 was limited in reaching, fingering, handling objects, speaking, and traveling. AR 478. He also

1 found Plaintiff's general appearance and behavior were normal, and her functional overlay was
2 good. *Id.*

3 **12. Physical RFC Assessment.**

4 Dr. Elsie Villaflora, M.D., a medical consultant, completed a physical RFC for Plaintiff
5 on November 4, 2010. AR 481-488. Dr. Villaflora found Plaintiff could: occasionally lift and/or
6 carry twenty pounds, frequently lift and/or carry ten pounds, stand and/or walk for a total of
7 about six hours in an eight-hour workday; sit about six hours total during an eight hour workday,
8 push and pull without limitation. AR 482. She found Plaintiff could never climb ladders or
9 scaffolds, but could occasionally climb ramps or stairs, balance, stoop, kneel, crouch, and crawl.
10 AR 483. She found Plaintiff had no manipulative, visual, or communicative limitations. AR
11 484-85. Plaintiff should avoid concentrated exposure to extreme heat or cold; fumes, odors,
12 dusts, gases, poor ventilation; and hazardous machinery. AR485. She commented that
13 Plaintiff's allegations of her symptoms and their severity were disproportionate to the objective
14 findings. AR 486. She found the Medical Source Statements¹¹ she reviewed were too restrictive
15 considering the objective findings. AR 487.

16 **III The ALJ's Decision.**

17 The ALJ followed the five-step sequential evaluation process set forth at 20 C.F.R.
18 §§ 404.1520 and 416.920 and issued an unfavorable decision on July 1, 2011. AR 19-27. At
19 step one, the ALJ found Plaintiff had not engaged in SGA since June 1, 2009, the alleged onset
20 date. At step two, the ALJ found Plaintiff had the following severe impairments: fibromyalgia,
21 connective tissue disease, chronic fatigue, and obesity. *Id.* In making his findings at step two,
22 the ALJ specifically considered all of Plaintiff's medically determinable impairments, including
23 her non-severe impairment of anxiety. *Id.* He concluded that her non-severe impairment did not
24 cause more than minimal limitation in Plaintiff's ability to perform basic mental work activities
25 and was, therefore, non-severe. *Id.*

26 ///

28 ¹¹ The Assessment does not state which Medical Source Statements Dr. Villaflora reviewed.

1 The ALJ also considered the Paragraph B criteria in Listing 12.00C of the Listing of
2 Impairments set forth at 20 C.F.R., Part 404, Subpart P, Appendix 1. AR 24. Specifically, the
3 ALJ determined that in the first three functional areas of activities of daily living; social
4 functioning; and concentration, persistence, and pace, Plaintiff had mild limitations. *Id.* With
5 regard to the fourth functional area, the ALJ found Plaintiff had experienced no episodes of
6 decompensation of extended duration. *Id.* Although Plaintiff stated she had anxiety and
7 difficulty concentrating, the greater weight of the evidence showed Plaintiff had relatively
8 normal mental status examinations. She was coherent, relevant, logical, and had good insight
9 and judgment; she demonstrated no evidence of mania, anxiety, or cognitive defects. *Id.* In late
10 2009, she reported enjoying social activities with her friends. *Id.* She did not report any
11 exacerbation of her condition. *Id.*

12 Dr. Motlagh, Plaintiff's treating psychiatrist reported on June 24, 2010, that Plaintiff was
13 doing "ok" on medication, and her prognosis was guarded to good. *Id.* He found her ability to
14 perform at a consistent pace was limited, but not precluded, and she could meet the competitive
15 standards of a regular work environment. *Id.* Dr. Motlagh was not sure whether Plaintiff was a
16 malingerer or whether her impairments would last longer than twelve months. AR 21-22.
17 Plaintiff told him her medications were helping. AR 22. Because Plaintiff's medically-
18 determinable mental impairment caused no more than mild limitation in the first three functional
19 areas and no episodes of decompensation of extended duration, the ALJ found it was non-severe.
20 AR 21, 22

21 At step three, the ALJ concluded that Plaintiff did not have an impairment or
22 combination of impairments that meets or medically equals one of the listed impairments in 20
23 C.F.R. Part 404, Subpart P, Appendix 1, including Listing 12.00C. *Id.*

24 After consideration of the entire record, the ALJ concluded that Plaintiff had the RFC to
25 perform less than sedentary work as defined in 20 C.F.R. 404.1567(a) and 416.967(a).
26 Specifically, he found she could lift ten pounds occasionally and five pounds frequently. *Id.* In
27 an eight-hour workday, Plaintiff could sit a total of six hours, stand and/or walk for two hours,

28 ///

1 alternating sitting and standing every thirty minutes. *Id.* The ALJ found Plaintiff was limited to
2 occasional climbing, balancing, stooping, kneeling, crouching, and crawling. *Id.*

3 In making this finding, the ALJ considered Plaintiff's statements at the hearing and in her
4 function report concerning her symptoms—specifically, that she had chronic fatigue, pain, and a
5 cognitive dysfunction. *Id.* He considered her testimony that she could perform household work
6 for two hours before she was tired and needed a nap. AR 23. She wrote in her function report
7 she attended to personal care and hygiene, took care of her cats and dogs, prepared simple daily
8 meals, went grocery shopping, and was able to drive and go out independently. *Id.* Although
9 she testified she was easily distracted, she also testified she could read for two hours at a time.
10 *Id.* In her function report, she reported she could finish what she started, could follow written
11 and verbal directions, socialized with friends, and went to church. *Id.* Although she claimed an
12 inability to handle stress, she reported that she could manage a change in routine. *Id.* The ALJ
13 found it particularly notable that Plaintiff testified there were days Plaintiff was bored at home.
14 *Id.*

15 He determined Plaintiff's statements about the intensity, persistence, and limiting effects
16 of these symptoms were not credible to the extent they were inconsistent with his RFC
17 assessment. *Id.* He found the medical evidence did not support Plaintiff's allegations about her
18 ability to lift, sit, stand and/or walk for extended periods. *Id.* Plaintiff's treatment history was
19 conservative and only consisted of follow-ups, medications, and lab work. *Id.*

20 In 2009, all of her x-rays were normal, including those for her wrists, foot, chest, with the
21 exception of a small bone spur on the x-ray of her left foot. *Id.* Although she complained of
22 fatigue and slept more than twelve hours per day, she denied any pain and was treated only with
23 medication. *Id.* Her lab work was normal, with the exception of the "slight positive" result for
24 autoimmune illness. *Id.* Her physical exams were normal. A stress test was normal.

25 In 2010, Plaintiff was seen for complaints of brain fog, fatigue, malaise, and excessive
26 sleepiness related to her mixed connective tissue disease. AR 23-24. Her physical examinations
27 were unremarkable, she had no major joint pains, and she stated she was not taking her
28 prescribed medication because she did not want the side effects. AR 24. There was no evidence

1 of any systemic involvement with Plaintiff's positive ANA result. *Id.* Her liver and kidney
2 functions were normal, and her brain fog improved when she wore glasses. *Id.* In July 2010,
3 Plaintiff was seen for neck and back pain; her physical exam was unremarkable except for a
4 somewhat decreased range of motion in her neck. *Id.* X-rays of Plaintiff's spine showed
5 spondylosis and disc narrowing at L4-L5, but her thoracic spine x-ray was unremarkable. *Id.* In
6 August 2010, her physical therapy notes showed her pain was intermittent, and her pain was
7 minimal during the exam. *Id.* Her treatment plan was conservative, consisting of home
8 exercises, instruction on body mechanics, and a tens unit. *Id.*

9 A physical examination in October 2010 was unremarkable except for a somewhat
10 decreased range of motion in Plaintiff's extremities. *Id.* Plaintiff reported feeling "much better
11 than before," with less fatigue, her sleep had improved such that she was no longer sleeping in
12 the day, and her thinking was better. *Id.* She indicated she wanted to find employment to keep
13 herself busy. *Id.* Her joint pain, malaise, and fatigue continued to be intermittent. *Id.* In
14 November 2010, Plaintiff reported that she planned to resume tutoring, and she had a car and
15 could drive. *Id.* She underwent an EMG that was unremarkable, and she admitted to
16 oversleeping and not exercising. *Id.* Although Plaintiff reported sleeping eighteen hours straight
17 in 2011, the ALJ found she was not taking specific medications and was following a protocol on
18 her own. AR 25. Records from March 2011 showed she was feeling better despite continued
19 complaints of fatigue. *Id.*

20 With regard to opinion evidence the ALJ gave significant weight to the opinion of DDS,
21 which found Plaintiff had no severe mental impairments. *Id.* The ALJ also found the DDS'
22 RFC finding was consistent with the objective medical evidence and consistent with the record
23 as a whole. *Id.* The ALJ gave less weight to the opinions of Drs. Crooks¹² and Prasad, finding
24 their opinions were inconsistent with objective medical evidence and the record in its entirety.
25 *Id.* The ALJ also gave less weight to Dr. Scoccia's opinion, finding it was vague, inconsistent
26 with the record as a whole and objective medical evidence. *Id.* The ALJ also found Dr.

27
28 ¹² The ALJ incorrectly refers to Dr. Crooks as "Dr. Crooksmen."

1 Scoccia's opinion inconsistent with his own findings, which were that Plaintiff appeared normal
2 and demonstrated normal behavior. *Id.*

3 The ALJ gave no weight to Dr. Motlagh's opinion because it was inconsistent with the
4 record as a whole and the greater weight of the objective medical evidence. Additionally, the
5 ALJ found Dr. Motlagh's opinion was inconsistent with Dr. Motlagh's own observation that he
6 was unsure whether Plaintiff was a malingerer or whether her impairments would last more than
7 twelve months. AR 25-26. The ALJ also gave no weight to statements by Robert Hutchinson to
8 the extent he concluded Plaintiff's condition was disabling and debilitating. AR 26. His opinion
9 was also inconsistent with the record as a whole and the objective medical evidence. *Id.* Finally,
10 the ALJ noted that his RFC assessment gave Plaintiff "the maximum benefit of the doubt." *Id.*

11 At step five, the ALJ found Plaintiff was not capable of performing her PRW, all of
12 which was either light/skilled or light/unskilled with an RFC to perform sedentary work with
13 restrictions. The ALJ found that Plaintiff was a younger individual on the alleged onset
14 disability date, had a high school education, and could communicate in English. The ALJ did
15 not consider transferability of job skills because using the Medical-Vocational Rules supports a
16 finding that Plaintiff was "not disabled," whether or not she had transferable job skills. *Id.* If
17 Plaintiff could perform a full range of sedentary work, pursuant to Medical-Vocational Rule
18 201.28, she would be "not disabled." *Id.* However, considering Plaintiff's additional limitations,
19 she would not be able to perform all or substantially all of the requirements of sedentary work.
20 *Id.*

21 In order to determine the extent to which Plaintiff's additional limitations eroded the
22 unskilled sedentary occupational database, the ALJ asked the vocational expert ("VE") whether
23 jobs exist in the national economy for a person with Plaintiff's age, education, work experience,
24 and RFC. *Id.* The VE responded that person would be able to perform the requirements of
25 representative occupations such as information clerk (sedentary, unskilled work with 746
26 positions in Nevada and 84,770 positions nationwide), credit card interviewer (sedentary,
27 unskilled work with 338 positions in Nevada and 33,037 positions nationally), and election clerk
28 (sedentary, unskilled work with 727 positions in Nevada and 97,811 positions nationally). *Id.*

1 Although the VE's testimony was inconsistent with information contained in the *Dictionary of*
2 *Occupational Titles* (the "DOT"), the VE explained that the DOT did not classify sit/stand
3 options for jobs. *Id.* The ALJ accepted the VE's testimony in accordance with SSR 00-4p¹³
4 because it was based on his experience and observation of sit/stand jobs as they existed in the
5 national economy. *Id.*

6 Based on the VE's testimony, the ALJ concluded that considering Plaintiffs age, work
7 experience, education, and RFC, she was capable of making a successful adjustment to other
8 work that existed in significant numbers in the national economy. Therefore, a finding of not
9 disabled was appropriate under the framework of Medical-Vocational Rule 201.28

10 **IV. The Parties' Positions.**

11 **A. Plaintiff's Motion to Remand and/or Reverse.**

12 Plaintiff argues that the ALJ erred in determining her RFC, and asserts that his finding
13 lacks the support of substantial evidence in the record. First, Plaintiff contends the ALJ erred in
14 finding she did not suffer from a severe mental impairment. She asserts that the treatment
15 records in the AR demonstrate that she suffers from depression, anxiety, panic attacks, and
16 frustration secondary to her chronic physical problems, and the additional records submitted to
17 the Appeals Council reflect her ongoing mental impairment. Second, Plaintiff contends the ALJ
18 failed to properly examine the medical records in determining her physical RFC and improperly
19 rejected certain physicians' opinions without providing specific and legitimate reasons.
20 Plaintiff's treating physicians, Dr. Crooks and Dr. Prasad, determined Plaintiff was significantly
21 limited, and the ALJ rejected these opinions in favor of the non-examining, non-treating state
22 agency reviewing physician. The ALJ also rejected consultative examiner Dr. Scoccia's opinion.
23 Plaintiff asserts the ALJ's rejection of these opinions as inconsistent with the medical evidence,
24 examination results, and the AR in its entirety is unspecific and not legally sufficient.

25 ///

26 ¹³ SSR 00-4p requires that before relying on a VE's testimony to support a disability
27 determination or decision, an ALJ must obtain a reasonable explanation for any conflicts
28 between occupational evidence presented by the VE and information in the DOT and explain
how any conflict was resolved.

1 Additionally, Plaintiff contends the ALJ's credibility determination lacks the support of
2 substantial evidence in the record. Specifically, Plaintiff asserts the ALJ impermissibly rejected
3 her subjective symptom testimony because he did not articulate any clear or convincing reasons
4 to reject it, and there was no evidence of malingering. The ALJ rejected Plaintiff's subjective
5 symptom testimony because her complaints were inconsistent with her daily activities. Plaintiff
6 asserts this is not a clear and convincing reason. Additionally, the ALJ's credibility
7 determination "is also indicative of an extreme lack of medical understanding of the debilitating
8 effect of fibromyalgia." Motion at 10:21-22. Plaintiff asserts her testimony should be credited
9 as true, and this matter should be remanded for an award of benefits.

10 **B. The Commissioner's Cross-Motion for Summary Judgment & Opposition.**

11 The Commissioner asserts that although the ALJ did not find Plaintiff suffered from a
12 severe mental impairment, he had to consider the functional effect of all her impairments—
13 severe and non-severe—together to determine her RFC. Therefore, the fact that the ALJ did not
14 identify Plaintiff's anxiety disorder as severe is irrelevant because he was required to consider it
15 along with her severe impairments of fibromyalgia, connective tissue disease, chronic fatigue,
16 and obesity, in determining Plaintiff's RFC. Additionally, the ALJ specifically considered
17 Plaintiff's mental impairment, its symptoms, and Dr. Motlagh's opinion. The evidence Plaintiff
18 submitted to the Appeals Council did not contain a new medical source statement regarding
19 Plaintiff's functional limitations, and as the Appeals Council noted, did not warrant a change in
20 the ALJ's opinion. Therefore, the ALJ did not err, and remand is not required.

21 Second, the Commissioner contends the ALJ's RFC finding that Plaintiff could perform a
22 reduced range of work was supported by substantial evidence in the record. Plaintiff's physical exams
23 were routinely normal and showed no neurological deficits or evidence of inflammatory process.
24 Her x-rays showed no or minimal abnormalities. All of her lab work was normal except for a
25 "slightly positive" ANA test, and Dr. Prasad noted that there was no evidence of systemic
26 involvement, and her kidney, liver, and other major organs functioned normally.

27 Third, the Commissioner asserts the ALJ properly rejected the opinions of Plaintiff's
28 treating physicians Dr. Crooks and Dr. Prasad as inconsistent with the objective medical

1 evidence, examination results, and the record in its entirety. The ALJ found that Plaintiff's
2 examination findings were normal, and her x-ray and laboratory results were negative or
3 minimal. The only notable abnormality was the positive ANA result, but even Dr. Prasad
4 indicated there was no systemic involvement. In light of these findings, the Commissioner
5 contends the ALJ properly rejected Dr. Crooks' and Dr. Prasad's opinions. Additionally, the
6 Commissioner asserts the ALJ properly gave little weight to Dr. Scoccia's opinion, finding the
7 limitations he assessed were not supported by his negative examination findings.

8 Finally, the Commissioner asserts that substantial evidence supports the ALJ's
9 determination that Plaintiff was not credible. The ALJ determined that the medical evidence did
10 not support Plaintiff's allegations of disability. In addition, he found that Plaintiff's complaints
11 were not fully credible for a number of specific reasons. For example, Plaintiff reported that her
12 "brain fog" improved when she wore glasses, and her October 2010 progress notes reflect that
13 she was "feeling much better than before," and her sleeping had improved. Plaintiff also did not
14 follow her prescribed treatment, but when she did, she indicated her thinking was much better,
15 and her sleep pattern had improved. She also testified that she was looking for work to keep
16 busy because she became bored at home. The ALJ also considered Plaintiff's activities of daily
17 living and found they were inconsistent with her reported symptoms.

18 **V. Analysis and Findings**

19 Plaintiff argues that the ALJ erred by (a) finding Plaintiff's alleged mental impairment
20 was non-severe; (b) incorrectly assessing her RFC; (c) improperly rejecting the opinions of
21 Plaintiff's treating physicians; and (d) improperly determining Plaintiff was not credible to the
22 extent her reported symptoms were inconsistent with Plaintiff's RFC finding. For the reasons set
23 forth below, after reviewing the record as a whole and weighing both the evidence that supports
24 and the evidence that detracts from the ALJ's conclusion, the court finds the ALJ's decision is
25 supported by substantial evidence, and the ALJ did not commit reversible error.

26 **A. Plaintiff's Alleged Mental Impairment.**

27 Plaintiff argues the ALJ erred by determining Plaintiff's mental impairment was non-
28 severe at step two. She asserts that the evidence of record shows Plaintiff suffers depression,

1 anxiety, panic attacks, and frustration secondary to her chronic physical problems, and records
2 submitted to the Appeals Council reflect her on-going impairment. At step two, the ALJ
3 determined Plaintiff had severe impairments of fibromyalgia, connective tissue disease, chronic
4 fatigue, and obesity. AR 21. He determined Plaintiff's anxiety did not cause more than a mild
5 limitation in her ability to perform basic mental work activities and was therefore non-severe.
6 *Id.* In making that determination, the ALJ specifically considered the paragraph B criteria. AR
7 21-22.

8 Having reviewed the record as a whole, the court finds substantial evidence supports the
9 ALJ's determination that Plaintiff's anxiety was non-severe for the following reasons. First,
10 nearly all of Plaintiff's psychiatric visits report that she was cooperative, reasonable, alert, and
11 oriented. *See, e.g.*, AR 300, 314, 350, 429, 447, 512, 545, 567, 595, 634, 645. In February and
12 April 2009, she reported to Dr. Orea that although she had two to three panic attacks per month,
13 she was satisfied with her medication. AR 349, 361. She reported in April 2009 and November
14 2009 that she was able to enjoy her activities and socialized with her friends regularly to play
15 cards and pool. AR 349, 312. She had no complaints about her psychiatric condition when she
16 saw Dr. Orea in November 2009. AR 313. As of March 2011, Plaintiff's progress notes reflect
17 that her anxiety was "in check" with medication. AR 492. In June 2011, Plaintiff reported that
18 her anxiety was a three on a scale of one to ten, with ten being the worst, because her medication
19 was working. AR 644.

20 Additionally, the Psychiatric Review Technique completed by Dr. Roldan on September
21 14, 2010, also supports the ALJ's conclusions. Dr. Roldan found Plaintiff was mildly restricted
22 in her activities of daily living; had mild difficulties maintaining social functioning and
23 maintaining concentration, persistence, and pace; and had no episodes of decompensation of
24 extended duration. AR 413. Dr. Roldan concluded Plaintiff's mental impairments were non-
25 severe. AR 403, 408. Plaintiff's treating psychiatrist's Mental RFC Questionnaire also indicates
26 Plaintiff was doing "ok" on medication, her prognosis was "guarded to good." AR 384.
27 Additionally, Dr. Motlagh completed a check-the-box form regarding Plaintiff's mental abilities
28 and aptitudes for unskilled work, and in every area he rated except one, he found Plaintiff's

1 ability was “limited, but satisfactory.” AR 386-87. He also wrote that he was unsure whether
2 Plaintiff was a malingerer. AR 388.

3 Reviewing the record as a whole, substantial evidence supports the ALJ’s conclusion that
4 Plaintiff’s mental impairment caused no more than mild limitations in the first three functional
5 areas and no episodes of decompensation of extended duration in the fourth functional area, and
6 was therefore, non-severe.

7 **B. Plaintiff’s RFC/Rejection of Drs. Crooks’ and Prasad’s Opinions.**

8 Plaintiff contends the ALJ erred in assessing her physical RFC by improperly rejecting
9 Dr. Crooks’ and Prasad’s opinions. The ALJ found Plaintiff had the RFC to perform a reduced
10 range of sedentary work. Specifically, he found Plaintiff could lift and carry ten pounds
11 occasionally and five pounds frequently. During an eight-hour day, he found Plaintiff could sit a
12 total of six hours and stand or walk for two hours, alternating sitting and standing every thirty
13 minutes. He limited Plaintiff to occasional climbing, balancing, stooping, kneeling, crouching,
14 or crawling. In making this finding the ALJ conducted a thorough review of Plaintiff’s
15 examinations, x-rays, lab work, and medical opinion evidence. All of Plaintiff’s x-rays were
16 normal or showed only minimal abnormality. *See, e.g.*, AR 235, 237, 238, 239-40, 269, 283,
17 395, 396, 397, 418-19. All of Plaintiff’s lab work was normal except for the “slightly positive”
18 ANA test, and Plaintiff’s own treating rheumatologist indicated that there was “no evidence of
19 any systemic involvement, with normal kidney functions, normal liver functions, with no
20 involvement of the major organs.” AR 324, 328, 468, 667.

21 The ALJ found, and the record supports, that Plaintiff’s physical examinations were
22 routinely normal, and her treatment plan was conservative. *See, e.g.*, AR 301, 315-16, 436-37,
23 444, 468, 469, 475, 626-27, 636. In addition, Plaintiff chose to follow the Marshall Protocol,
24 without supervision by or direction from her doctors. AR 497, 502. In fact, at Plaintiff’s June
25 10, 2010, appointment, she asked Dr. Prasad about the Marshall Protocol, and he directed
26 Plaintiff to continue her medications as prescribed. Despite that, by December 10, 2010, she had
27 started following the Marshall Protocol on her own. AR 453, 497, 502. She also refused Dr.

1 Crooks' referral to a weight management program. AR 466. She declined to take medication
2 recommended by Dr. Prasad because she had some "simple side effects." AR 301.

3 The ALJ also considered the medical opinion evidence, and Plaintiff contends the ALJ
4 improperly rejected the opinions of Drs. Crooks and Prasad, Plaintiff's treating physicians. She
5 argues that the ALJ's rationale that these opinions were inconsistent with the objective medical
6 evidence, examination results, and the record as a whole is legally insufficient, and the ALJ was
7 required to set forth specific legitimate reasons, supported by substantial evidence from the
8 record, to reject these opinions.

9 The implementing regulations for Title II of the Social Security Act distinguish among
10 the opinions of three types of physicians: first, treating physicians; second, examining physicians
11 (i.e., physicians who examine but do not treat a claimant); and third, non-examining or reviewing
12 physicians (i.e., physicians who neither examine nor treat the claimant, but review the claimant's
13 file). *Lester v. Chater*, 81 F.3d, 821, 830 (9th Circuit 1995); 20 C.F.R. § 404.1527(d). Generally,
14 a treating physician's opinion is entitled to more weight than an examining physician's, and an
15 examining physician's opinion is entitled to more weight than a reviewing physician's. *Lester*, 81
16 F.3d at 830; 20 C.F.R. § 404.1527(d). The Social Security Regulations give more weight to
17 opinions that are explained than those that are not. 20 C.F.R. § 404.1527(d)(3). The Social
18 Security Regulations also give more weight to opinions of specialists concerning matters relating
19 to their specialty over that of non-specialists. 20 C.F.R. § 404.1527(d)(5).

20 The ALJ must consider all medical evidence. *See* 20 C.F.R. § 404.1527(b). When an ALJ
21 rejects a treating physician's opinion that is contradicted by another doctor, the ALJ must provide
22 specific, legitimate reasons based on substantial evidence in the record. *See Valentine v. Comm'r*
23 *of Soc. Sec. Admin.*, 574 F.3d 685, 692 (9th Cir.2009); *Ryan v. Comm'r of Soc. Sec. Admin.*, 528
24 F.3d 1194, 1198 (9th Cir.2008). An ALJ satisfies the burden of providing specific, legitimate
25 reasons to reject a controverted treating physician opinion where he or she sets out a "detailed
26 and thorough summary of the facts and conflicting clinical evidence, stating his interpretation
27 thereof, and making findings." *Magallanes v. Bowen*, 881 F.2d 747, 757 (9th Cir.1989). An ALJ
28 need not accept the opinion of any physician, including a treating physician, if that opinion is

1 brief, conclusory, and inadequately supported by clinical findings. *See Chaudhry v. Astrue*, 688
2 F.3d 661, 671 (9th Cir. 2012); *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th
3 Cir.2009). An ALJ is not required to give controlling weight to a treating physician's opinion
4 unless it is well-supported and not inconsistent with other substantial evidence in the record. *See*
5 *Chaudhry*, 688 F.3d at 671; 20 C.F.R. §§ 404.927(d)(2), 416.927(d)(2).

6 Here, the ALJ set forth specific and legitimate reasons, supported by substantial evidence
7 in the record, for rejecting the opinions of Dr. Crooks and Dr. Prasad. The ALJ spent three pages
8 discussing the substantial evidence that supported his findings prior to rejecting Drs. Crooks' and
9 Prasad's opinions. An ALJ is required to discuss and evaluate the evidence that supports his or
10 her conclusion; but there is no requirement that he or she do so in any particular order or under
11 any particular heading. *See, e.g., Lewis v. Apfel*, 236 F.3d 503, 513 (9th Cir. 2001) (citing
12 *Marcia v. Sullivan*, 900 F.2d 172 (9th Cir. 1990)). Here, the ALJ summarized the evidence in
13 the AR, finding, essentially, that Plaintiff's physical examinations were normal, and her x-ray
14 and laboratory findings were negative or minimal.

15 Likewise, the ALJ set forth specific, legitimate reasons, supported by substantial
16 evidence, for rejecting Dr. Scoccia's opinion. The ALJ found Dr. Scoccia's opinion was vague
17 and inconsistent with the objective medical records as a whole and also with his own findings
18 that Plaintiff appeared normal and demonstrated normal behavior. The court's own review of the
19 AR reveals Dr. Scoccia's physical examination of Plaintiff was normal and notes no
20 abnormalities whatsoever. AR 474-75, 478. Dr. Scoccia's findings simply do not support the
21 limitations noted in the check-the-box Medical Source Statement he completed. There is no
22 support, for example, for the limitations that Plaintiff should never balance, stoop/bend, kneel,
23 crouch/squat, or crawl, or for the limitation that she only sit for two hours of an eight-hour
24 workday. The court agrees that Dr. Scoccia's opinion was inconsistent with his own findings
25 and the record as a whole.

26 Additionally, the ALJ afforded significant weight to the DDS Medical Source Statement.
27 Although the contrary opinion of a non-examining medical expert does not alone constitute a
28 specific, legitimate reason for rejecting a treating physician's opinion, it may constitute

1 substantial evidence when it is consistent with other independent evidence in the record. *See*
2 *Mangallanes v. Bowen*, 881 F.2d 747, 752 (9th Cir. 1989). Here, the ALJ found the DDS
3 opinion was consistent with the objective medical evidence and the record as a whole. In sum,
4 the court finds that the ALJ thoroughly considered the record before him, and the court has
5 considered the AR—including the medical records submitted to the Appeals Council—and finds
6 that substantial evidence supported the ALJ’s RFC finding.

7 **C. Plaintiff’s Credibility.**

8 Plaintiff asserts the ALJ erred by failing to articulate clear and convincing reasons for
9 rejecting Plaintiff’s pain and limitation testimony. In assessing the credibility of a claimant’s
10 testimony regarding subjective pain or the intensity of symptoms, the ALJ engages in a two-step
11 analysis. *Molina v. Astrue*, 674 F.3d 1104, 1112 (9th Cir. 2012) (citing *Vasquez v. Astrue*, 572
12 F.3d 586, 591 (9th Cir. 2009)). First, the ALJ must determine whether there is objective medical
13 evidence of an impairment which could reasonably produce the pain or other symptoms alleged.
14 *Id.* Second, if such evidence exists, the ALJ must give specific, clear and convincing reasons for
15 rejecting the claimant’s testimony about the severity of her symptoms. *Id.* In evaluating the
16 claimant’s testimony, the ALJ may use ordinary techniques of credibility evaluation, including
17 considering inconsistencies in the testimony or between the testimony and the claimant’s conduct
18 or unexplained failure to seek treatment or follow a prescribed course of treatment. *See*
19 *Tommasetti*, 533 F.3d at 1039 (citing *Smolen*, 80 F.3d at 1284). Additionally, the ALJ may also
20 consider whether the claimant engages in daily activities inconsistent with the alleged symptoms.
21 *See Lingenfelter v. Astrue*, 504 F.3d 1028, 1040 (9th Cir. 2007).

22 The court finds the ALJ in this case properly followed the two-step analysis described
23 above. First, he found that the medical evidence did not support Plaintiff’s allegations of
24 disability. AR 23. For example, he observed that Plaintiff’s treatment history was conservative
25 and consisted of follow-ups, medications, and lab work. Although Plaintiff complained of neck
26 and back pain, on physical examinations were unremarkable and showed no evidence of
27 inflammatory process or acute inflammation in the joints. *See, e.g.*, AR 301, 327, 437. Her
28 progress notes indicated that as of October 2010, Plaintiff was “feeling much better than before.”

1 Again in March 2011, Plaintiff reported feeling slightly better, though she still complained of
2 fatigue. AR 493. Additionally, all of her x-rays were negative or showed only minimal
3 abnormalities. The ALJ also found that Plaintiff's subjective complaints were not credible
4 because they were inconsistent with other statements she made. For instance, Plaintiff reported
5 that her brain fog improved when she wore glasses. Furthermore, Plaintiff did not take her
6 medication as prescribed, and she followed her own treatment plan. AR 493. She also reported
7 to her doctor and testified at the hearing that she planned to resume tutoring and that she was
8 going to look for work to keep busy because she was bored at home when she had energy. AR
9 51, 517.

10 Contrary to Plaintiff's assertions, the ALJ did not solely rely on Plaintiff's daily activities
11 in discrediting her testimony. Although that was one factor he considered, as set forth above, the
12 ALJ made additional specific findings to support his credibility determination. The ALJ was
13 permitted to consider daily living activities in his credibility analysis. *See Burch v. Barnhart*,
14 400 F.2d 676, 680 (9th Cir. 2005). The Ninth Circuit has explained that if a plaintiff engages in
15 numerous daily activities that could be transferred to the workplace the ALJ may discredit the
16 claimant's allegations upon making specific findings related to those activities. *Id.* at 681
17 (internal citation omitted). In *Morgan v. Commissioner of Social Security Administration*, for
18 example, the Ninth Circuit affirmed the ALJ's determination that the claimant's ability to fix
19 meals, do laundry, work in the yard, and occasionally care for children was evidence of an ability
20 to work. 169 F.3d 595, 600 (9th Cir. 1999).

21 Here, the ALJ found Plaintiff's activities of daily living were inconsistent with Plaintiff's
22 allegations of chronic fatigue, pain, cognitive dysfunction, inability to concentrate, and sleeping
23 twelve to sixteen hours per day. For example, although Plaintiff alleged she was easily
24 distracted, she testified she was able to maintain sufficient concentration to read for
25 approximately two hours at a time and complete tasks. In her function report, Plaintiff wrote that
26 she could perform household cleaning (laundry, ironing) for one hour at a time, she could attend
27 to her personal hygiene, she took care of her dogs and cats, prepared simple meals, grocery
28 shopped, and drove on her own. AR 181-84. Additionally, she reported she was able to manage

1 her finances, leave the house two or three times per day, walk a mile, follow written and spoken
2 instructions, attend church, and travel alone. AR 184-87. In addition, Dr. Villaflora observed
3 that Plaintiff's symptoms and their severity were disproportionate to the objective medical
4 evidence. AR 486.

5 The court finds the ALJ enunciated clear, convincing, and specific reasons for rejecting
6 Plaintiff's pain testimony, all of which were supported by the record as a whole.

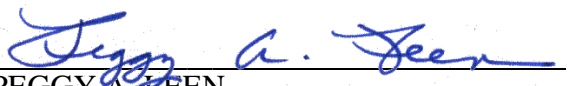
7 **VI. Conclusion**

8 Judicial review of a decision to deny disability benefits is limited to determining whether
9 the decision is based on substantial evidence reviewing the administrative record as a whole. If
10 the record will support more than one rational interpretation, the court must defer to the
11 Commissioner's interpretation. If the evidence can reasonably support either affirming or
12 reversing the ALJ's decision, the court may not substitute its judgment for the ALJ's. *Flaten*, 44
13 F.3d at 1457. It is the ALJ's responsibility to make findings of fact, drawing reasonable
14 inferences from the record as a whole, and to resolve conflicts in the evidence and differences of
15 opinion. Having reviewed the Administrative Record as a whole, and weighing the evidence that
16 supports and detracts from the Commissioner's conclusion, the court finds that the ALJ's
17 decision is supported by substantial evidence under 42 U.S.C. § 405(g). For all of the foregoing
18 reasons,

19 **IT IS RECOMMENDED:**

- 20 1. Plaintiff's Motion to Remand (Dkt. #25) be DENIED.
21 2. The Commissioner's Cross-Motion to Affirm (Dkt. #29) be GRANTED.

22 DATED this 23rd day of June, 2014.

23
24 
25 PEGGY A. LEEN
26 UNITED STATES MAGISTRATE JUDGE
27
28